

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	12122877
<b>Application Number:</b>	10001921
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8945
<b>Title of Invention:</b>	Method and apparatus for trading bonds
<b>First Named Inventor/Applicant Name:</b>	Murray L. Finebaum
<b>Customer Number:</b>	42532
<b>Filer:</b>	Patrick J. Niedermeier
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	MAX-002
<b>Receipt Date:</b>	21-FEB-2012
<b>Filing Date:</b>	15-NOV-2001
<b>Time Stamp:</b>	16:37:45
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1270
RAM confirmation Number	4046
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	MAX002_Transmittal_for_Amendment_and_Response.pdf	262250 5a451023196f192a6c941ee3d73dbf20a0949b	no	2
Warnings:					
Information:					
2	Extension of Time	MAX002_Petition_for_Extension_of_Time.pdf	284522 100b191b5a5e0a646722993005c3a4a6c3c18b65c	no	2
Warnings:					
Information:					
3		MAX002_Amendment_and_Response.pdf	1569969 b3bb600703b0c7c0f16b4838912af5772a31658bc	yes	29
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment/Req. Reconsideration-After Non-Final Reject		1	1	
	Amendment Copy Claims/Response to Suggested Claims		2	13	
	Applicant Arguments/Remarks Made in an Amendment		14	29	
Warnings:					
Information:					
4	Fee Worksheet (SB06)	fee-info.pdf	30082 5b410f9a202b709491623b447705c0e31d7db99	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			2146823		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.